Arthiq.

COURSE OUTLINE

WE HAVE TO SUPERVISE AI WE DIDN'T BUILD.

# AI Supervision for Compliance Teams

The core session for second-line compliance: how to supervise AI systems you did not build and cannot see inside, built around the controls and risk your team owns.

| FORMAT | LENGTH | BUILT FOR |
|---|---|---|
| In-house workshop | Half-day or full-day | Financial Services |

WHAT YOUR TEAM WALKS AWAY WITH

**Supervise the AI your business has already deployed, with a control framework, a risk register, and the questions that surface real exposure.**

## THE SESSION, STEP BY STEP

1. Finding the AI
2. Building the inventory
3. Scoring risk materiality
4. A framework sized to your firm
5. Controls by tier
6. Keeping it current

A starting agenda, shaped around your team and your AI use.

## YOU KEEP

- **AI inventory**, pre-filled with your own use cases
- **Risk-materiality scoring matrix**, impact, complexity and reliance, scored consistently
- **Control framework with RACI**, sized to your firm

## WHO IT'S FOR

- **Heads of Compliance**
- **MLROs**
- **Compliance monitoring teams**
- **Assurance teams**

At regulated financial firms.

## WHY THIS MATTERS NOW

### MAS proposes an inventory

Proposed guidelines ask firms to inventory AI use, score risk materiality and apply proportionate controls, with a 12-month transition once final.

### The industry wrote a playbook

24 banks, insurers and capital market firms built the MindForge handbook. It is thorough and free.

### Written for large institutions

Its authors have dedicated AI governance teams. This workshop turns it into something a compliance team of two to ten can run.

## Bring this session to your team.

Tell us your team and what you want them to walk away with. We'll come back with a scoped session and dates.

Book a discovery call

or **founders@arthiq.co**

arthiq.co/enquire | Onsite, Singapore and Asia | A scoped session and dates